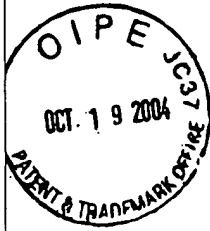


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Substitute for form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
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**Complete if Known**

<b>Application Number</b>	09/266,207
<b>Filing Date</b>	Mar 10, 1999
<b>First Named Inventor</b>	England
<b>Group Art Unit</b>	2135
<b>Examiner Name</b>	KLIMACH, PAULA W
<b>Attorney Docket Number</b>	MS1-282USC6

## NON PATENT LITERATURE DOCUMENTS

[illegible]

**Examiner  
Signature**

Paula Klimach

Date Considered

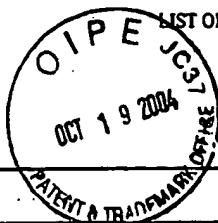
2/4/05

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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MSI-282USC6SERIAL NO.  
09766,107LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
Microsoft CorporationFILING DATE  
03/10/99

GROUP

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A					
	B					
	C					
	D					
	E					
	F					
	G					
	H					
	I					
	J					
	K					

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

## OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

pwk	B		Arbaugh et al., "A Secure and Reliable Bootstrapping Architecture", Distributed Systems Laboratory, Philadelphia, PA, 1997, pp. 65-71
pwk	F		Lampson et al., "Authentication in Distributed Systems: Theory and Practice", Digital Equipment Corporation, ACM Transactions on Computer Systems, Vol. 10, No. 4, November 1992, pp. 265-310
pwk	G		Clark et al., "Bis: A Smartcard Protected Operating System", Communications of the ACM, Vol. 37, No. 11, November 1994, pp. 66-70, 94
pwk	H		Yee, "Using Secure Coprocessors", School of Computer Science, Carnegie Mellon University, 1994, 104 pages
	I		
	J		

EXAMINER

Paula Klimach

DATE CONSIDERED

2/4/05

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OCT 19 2004

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete If Known</b>		
			Application Number	09/266,207	
			Filing Date	Mar 10, 1999	
			First Named Inventor	England	
			Group Art Unit	2135	
			Examiner Name	KLIMACH, PAULA W	
			Attorney Docket Number	MS1-282USC6	
Sheet	1	of	4		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
psk		5,023,907		Johnson et al.	06-11-1991	
psk		5,335,334		Takahashi et al.	08-02-1994	
psk		5,491,827		Holtey	02-13-1996	
psk		5,557,518		Rosen	09-17-1996	
psk		5,664,016		Preneel et al.	09-02-1997	
psk		5,671,280		Rosen	09-23-1997	
psk		5,745,886		Rosen	04-28-1998	
psk		5,757,919		Herbert et al.	05-26-1998	
psk		5,841,869		Merkling et al.	11-24-1998	
psk		5,872,847		Boyle et al.	02-16-1999	
psk		5,892,902		Clark	04-06-1999	
psk		5,892,904		Atkinson et al.	04-06-1999	
psk		5,919,257		Trostle	07-06-1999	
psk		5,958,050		Griffin et al.	09-28-1999	
psk		5,963,980		Coulier et al.	10-05-1999	
psk		5,991,399		Graunke et al.	11-23-1999	
psk		6,032,257		Olariq et al.	02-29-2000	
psk		6,092,189		Fisher et al.	07-18-2000	
psk		6,105,137		Graunke et al.	08-15-2000	
psk		6,118,873		Lotsplech et al.	09-12-2000	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (If known)				
psk psk			JP1040172		Intel Corporation	02-10-1989		
			GB 2 260 629A		Intel Corporation	04-21-1993		

Examiner Signature	Paula Klimach	Date Considered	2/4/05
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Substitute for form 1449B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **2** of **4**

**Complete If Known**

Application Number	09/266,207
Filing Date	Mar 10, 1999
First Named Inventor	England
Group Art Unit	2135
Examiner Name	KLIMACH, PAULA W
Attorney Docket Number	MS1-282USC6

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
POK		Arbaugh, et al., "A Secure and Reliable Bootstrap Architecture", Assumptions, pp. 1-7, December 1996.	
POK		McKenzie, "Seybold Report on Internet Publishing, Vol. 1, No. 4, p. 6(9), December 1996.	
POK		M. Kuhn, "The TrustNo 1 Cryptoprocessor Concept", kuhn@cs.purdue.edu, pp. 1-6, April 1997.	
POK		"Phoenix Technologies Partners with Secure Computing in Enterprise Security Marketplace", Business Wife, Courtesy of Dialog Text Search, pp. 1-2, July 2001.	

Examiner Signature

*Paula Klimach*

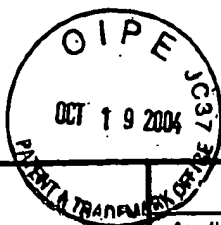
Date Considered

*2/4/05*

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**Complete If Known**

*(use as many sheets as necessary)*

Sheet	3	of	4
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<b>Application Number</b>	09/266,207
<b>Filing Date</b>	Mar 10, 1999
<b>First Named Inventor</b>	England
<b>Group Art Unit</b>	2135
<b>Examiner Name</b>	KLIMACH, PAULA W
<b>Attorney Docket Number</b>	MS1-282USC6

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
psk		6,148,387		Galasso et al.	11-14-2000	
psk		6,175,917		Arrow et al.	01-16-2001	
psk		6,185,678		Arbaugh et al.	02-06-2001	
psk		6,192,473		Ryan Jr., et al.	02-20-2001	
psk		6,212,636		Boyle et al.	04-03-2001	
psk		6,223,284		Novoa et al.	04-24-2001	
psk		6,229,894		Van Oorschot et al.	05-08-2001	
psk		6,240,185		Van Wie et al.	05-29-2001	
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psk		6,263,431		Lovelace et al.	07-17-2001	
psk		6,272,629		Stewart	08-08-2001	
psk		6,292,569		Shear et al.	09-18-2001	
psk		6,327,652		England et al.	12-04-2001	
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psk		6,338,139		Ando et al.	01-08-2002	
psk		20020007452		Traw et al.	01-17-2002	
psk		6,341,373		Shaw	01-22-2002	
psk		6,363,486		Knapton, III	03-26-2002	
psk		6,363,488		Ginter et al.	03-26-2002	

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**Examiner  
Signature**

Paula Klimach

Date  
Considered

2/4/08

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**Complete if Known**

*(use as many sheets as necessary)*

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of

2

<b>Application Number</b>	09/266,207
<b>Filing Date</b>	March 11, 1999
<b>First Named Inventor</b>	England
<b>Group Art Unit</b>	2131
<b>Examiner Name</b>	Shah, Nilesh R.
<b>Attorney Docket Number</b>	MS1-282USC6

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Paula. Klinach

## Considered

2/4/05

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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OCT. 19 2004

**Complete If Known**

<b>Application Number</b>	09/266,207
<b>Filing Date</b>	March 11, 1999
<b>First Named Inventor</b>	England
<b>Group Art Unit</b>	2131
<b>Examiner Name</b>	Shah, Nilesh R.
<b>Attorney Docket Number</b>	MSI-2821USC6

*(use as many sheets as necessary)*

Sheet	2	of	2
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet

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**Complete if Known**

Application Number	09/266,207
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<b>Filing Date</b>	<b>Mar 10, 1999</b>
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First Named Inventor	England
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Group Art Unit	2135
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Examiner Name	KLIMACH, PAULA W
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Attorney Docket Number	MS1-282USC6
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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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rwk		5,023,907		Johnson et al.	06-11-1991	
rwk		5,335,334		Takahashi et al.	08-02-1994	
rwk		5,491,827		Holtey	02-13-1996	
rwk		5,557,518		Rosen	09-17-1996	
rwk		5,664,016		Preneel et al.	09-02-1997	
rwk		5,671,280		Rosen	09-23-1997	
rwk		5,745,886		Rosen	04-28-1998	
rwk		5,757,919		Herbert et al.	05-26-1998	
rwk		5,841,869		Merkling et al.	11-24-1998	
rwk		5,872,847		Boyle et al.	02-16-1999	
rwk		5,892,902		Clark	04-06-1999	
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rwk		6,105,137		Graunke et al.	08-15-2000	
rwk		6,118,873		Lotspiech et al.	09-12-2000	

## FOREIGN PATENT DOCUMENTS

[illegible]

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Signature**

Paula Kimrache

Date  
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02/04/05

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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/266,207
				Filing Date	Mar 10, 1999
				First Named Inventor	England
				Group Art Unit	2135
				Examiner Name	KLIMACH, PAULA W
Sheet	4	of	4	Attorney Docket Number	MS1-282USC6

[illegible][illegible]

Examiner Signature	<i>Paula K. Simach</i>	Date Considered	<i>02/4/05</i>
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